Interactive Effects of HLA and GM Alleles on the Development of Alzheimer Disease

Janardan P. Pandey, PhD, Paul J. Nietert, PhD, Ronald T. Kothera, MS, Lisa L. Barnes, PhD, and David A. Bennett, MD

Neurol Genet 2021;7:e565. doi:10.1212/NXG.0000000000000565

Abstract

Objective
We investigated whether particular immunoglobulin GM (γ marker) alleles—individually or epistatically with a known human leukocyte antigen (HLA) risk allele—were associated with the development of Alzheimer disease (AD).

Methods
Using a prospective cohort study design, we genotyped DNA samples from 209 African American (AA) and 638 European American (EA) participants for IgG1 (GM 3 and GM 17), IgG2 (GM 23+ and GM 23−), and HLA-DRB1 rs9271192 (A/C) alleles by TaqMan and rhAMP genotyping assays.

Results
In EA subjects, none of the GM or HLA alleles—individually or epistatically—were associated with time to development of AD. In AA subjects, GM and HLA alleles individually were not associated with time to development of AD. However, there was a significant interaction: In the presence of GM 3 (i.e., GM 3/3 and GM 3/17 subjects), the presence of the HLA-C allele was associated with a 4-fold increase in the likelihood of developing AD compared with its absence (hazard ratio [HR] 4.17, 95% CI, 1.28–13.58). In the absence of GM 3 (GM 17/17 subjects), however, the presence of the HLA-C allele was not associated with time to development of AD (HR 1.10, 95% CI, 0.50–2.41).

Conclusions
These results show that particular GM and HLA alleles epistatically contribute to the development of AD.
Late-onset Alzheimer disease (AD) is a heritable, complex, and progressive brain disorder. Genome-wide association studies (GWAS) have identified numerous risk genes, but most of the heritability of AD remains unexplained, suggesting additional genes in its etiology. Many risk-conferring genes identified thus far are enriched in the immune system pathways. A major gene of the immune system—HLA-DRB1—has been associated with AD by many studies, including the largest GWAS of AD to date. The C allele of single-nucleotide polymorphism (SNP) rs9271192 within HLA-DRB1 seems to be a strong risk factor for AD.

The current GWAS of AD do not evaluate a major gene complex of the immune system—GM (γ marker) allotypes encoded by immunoglobulin heavy chain G (IGHG) genes on chromosome 14. The 3 IGHG genes that encode GM allotypes are highly homologous and apparently not amenable to high throughput genotyping technology used in GWAS. Therefore, a candidate gene approach is necessary to investigate the role of the immunoglobulin GM allotypes in the immunobiology of AD. There is a good rationale for the GM gene involvement in the etiopathogenesis of AD. These genes have been shown to influence the magnitude of antibody responses to various antigens. The presence of amyloid-β (Aβ) plaques is one of the hallmarks of AD. IgG heavy chains, where all GM allotypes are expressed, have inherent anti-amyloidogenic activity. Thus, polymorphic GM genes could contribute to the interindividual differences in the level of antibody responses to Aβ, thereby influencing the pathogenesis of the disease.

In this study, we aimed to determine the individual and/or epistatic (defined as modification of the action of a gene by an allele at another locus) contribution of GM and HLA-DRB1 genotypes to the development of AD.

**Methods**

**Study Design and Samples**

Using a prospective cohort study design, this investigation used archived DNA specimens and data from 3 longitudinal cohorts on aging: The Minority Aging Research Study, The Rush Memory and Aging Project, and The Religious Orders Study, which have been described in detail elsewhere.

A stratified sampling scheme was used to select a subset of participants without dementia at baseline from each cohort. African American (AA) participants from all 3 studies were included (n = 209). A subset of European American (EA) participants was randomly selected from the 2 cohorts that are predominantly EA (N = 638).

**Statistical Analysis**

Multivariable logistic regression was used to compare rates of AD and mortality during follow-up between EAs and AAs, while adjusting for baseline age and length of follow-up time. Associations between the candidate genes and time to development of AD were assessed using Cox proportional hazards (PH) models, which accounted for mortality and loss to follow up. Models were developed separately for EAs and AAs, given that the allelic frequencies for GM and HLA vary considerably by race. Time to development of AD was modeled as a function of covariates (baseline age, sex, years of education, and APOE-4 carrier status), the candidate genes, and gene × gene interactions using a backwards model selection process. The covariates were forced into each model, regardless of statistical significance. For all models, the proportionality assumption was verified. No adjustment was made for multiple comparisons because this was largely a hypothesis generating exercise. Analyses were further stratified by sex to determine whether our findings were consistent for men and women. Analyses were conducted using SAS v9.4 (SAS Institute, Cary, NC).

**Results**

Table presents the descriptive statistics of EA and AA subjects. The proportion of subjects that developed AD during the follow-up was higher in EA than that in the AA group (37.3 vs 19.6%), although this was not significant after adjusting for baseline age and length of follow-up time, which was higher among EAs than AAs (mean [SD]: 12.5 [4.6] vs
10.4 [3.1], \( p < 0.05 \)). In addition, a higher proportion of EA than AA subjects died during the follow-up (61.4 vs 30.0%).

For all genes of interest, there were markedly different genotype distributions noted when comparing EAs to AAs (\( p < 0.05 \) for all comparisons).

In EA subjects, none of the GM or HLA alleles—individually or epistatically—were associated with time to development of AD (all \( p \)-values > 0.10). In AA subjects, however, a different pattern emerged. When no gene by gene interactions were considered, GM and HLA alleles individually were not associated with time to development of AD. However, when we included their interaction in the model, we identified a robust interaction. In the presence of GM 3 (i.e., GM 3/3 and GM 3/17 subjects), the presence of the HLA-C allele was associated with a 4-fold increase in the likelihood of developing AD compared with its absence (hazard ratio [HR] 4.17, 95% CI, 1.28–13.58, figure 1). In the absence of GM 3 (GM 17/17 subjects), however, the presence of the HLA-C allele was not associated with time to development of AD (HR 1.10, 95% CI, 0.50–2.41, figure 2). Because the APOE-4 allele and other variables were used as covariates in these analyses, the interactive effect of GM and HLA genotypes on the development of AD was independent of the APOE-4 allele status and other subject covariates.

**Discussion**

The results presented here clearly show that in AA, the C allele of the rs9271192 SNP within *HLA-DRB1* may be a strong risk factor for AD in presence of the immunoglobulin GM 3 allele. This association was not found for EA. As

<table>
<thead>
<tr>
<th>Variable</th>
<th>Statistic</th>
<th>European American (n = 638)</th>
<th>African American (n = 209)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male</td>
<td></td>
<td>22.7%</td>
<td>26.3%</td>
</tr>
<tr>
<td>Alzheimer disease</td>
<td>% who developed AD during follow-up</td>
<td>37.3%</td>
<td>19.6%</td>
</tr>
<tr>
<td>Died</td>
<td>% who died during follow-up</td>
<td>61.4%</td>
<td>29.7%</td>
</tr>
<tr>
<td>GM 3/17 genotypes</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3/3</td>
<td>%</td>
<td>44.0%</td>
<td>2.9%</td>
</tr>
<tr>
<td>3/17</td>
<td>%</td>
<td>45.0%</td>
<td>30.6%</td>
</tr>
<tr>
<td>17/17</td>
<td>%</td>
<td>10.0%</td>
<td>61.7%</td>
</tr>
<tr>
<td>Untypable</td>
<td>%</td>
<td>0.9%</td>
<td>4.8%</td>
</tr>
<tr>
<td>GM 23 genotypes</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>+/+</td>
<td>%</td>
<td>17.4%</td>
<td>3.8%</td>
</tr>
<tr>
<td>+/-</td>
<td>%</td>
<td>48.9%</td>
<td>22.5%</td>
</tr>
<tr>
<td>+/-</td>
<td>%</td>
<td>33.1%</td>
<td>73.7%</td>
</tr>
<tr>
<td>Untypable</td>
<td>%</td>
<td>0.6%</td>
<td>0.0%</td>
</tr>
<tr>
<td>HLA-DRB1 rs9271192 genotypes</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AA</td>
<td>%</td>
<td>52.1%</td>
<td>61.4%</td>
</tr>
<tr>
<td>AC</td>
<td>%</td>
<td>40.9%</td>
<td>34.2%</td>
</tr>
<tr>
<td>CC</td>
<td>%</td>
<td>7.0%</td>
<td>4.5%</td>
</tr>
<tr>
<td>APOE 4 carrier</td>
<td>% Yes</td>
<td>23.8%</td>
<td>34.9%</td>
</tr>
<tr>
<td>Age at baseline (y)</td>
<td>Mean (SD)</td>
<td>77.6 (6.9)</td>
<td>72.5 (5.8)</td>
</tr>
<tr>
<td>Education (y)</td>
<td>Mean (SD)</td>
<td>15.6 (3.2)</td>
<td>14.9 (3.6)</td>
</tr>
<tr>
<td>Follow-up time (y)</td>
<td>Mean (SD)</td>
<td>12.5 (4.6)</td>
<td>10.4 (3.1)</td>
</tr>
<tr>
<td>Time to AD (y)</td>
<td>Mean (SD)</td>
<td>9.0 (5.5)</td>
<td>5.7 (3.8)</td>
</tr>
<tr>
<td>Age at death (y)</td>
<td>Mean (SD)</td>
<td>91.8 (5.7)</td>
<td>84.7 (6.8)</td>
</tr>
</tbody>
</table>

Abbreviations: AA = African American; AD = Alzheimer disease; EA = European American.

* *Among patients who developed AD during the study time frame (EA: n = 238, AA: 41).

* Among patients who died during the study time frame (EA: n = 392, AA: 62).

* \( p < 0.05 \) when compared with EAs by logistic regression, after adjusting for age at baseline and follow-up time.

* \( p < 0.05 \) when compared with EAs, by \( \chi^2 \) or Wilcoxon rank sum test, as appropriate.
mentioned earlier, several studies have reported the association of the C allele of the HLA-DRB1 SNP with susceptibility to AD. Because none of these studies genotyped for the GM gene complex, it is not possible to determine whether the HLA associations observed were independent of the GM genotype status of the subjects.

A possible mechanism of joint GM-HLA gene involvement in susceptibility to AD could be through their putative influence on antibody responses to Aβ via HLA-DRB1-restricted antigen processing/presentation pathway. IgG heavy chains (which express GM allotypes) have been shown to have natural antiamyloidogenic properties. It is possible that the antigen presenting B cells with the membrane-bound IgG expressing the GM 3 allotype are not effective recognition structures for the Aβ peptides. Furthermore, these peptides may not fit properly in the peptide-binding groove of the at-risk HLA-DRB1 C allele, leading to inadequate presentation to the CD4+ T helper cells and the consequent lack of B cell activation to generate anti-Aβ antibodies.

The reasons for the observed racial differences in the contribution of GM and HLA alleles in the development of AD are not clear. Both GM and HLA allele frequencies differ significantly between AA and EA populations. These differences, together with other racially associated genetic and nongenetic factors relevant to the development of AD, may have contributed to the differences observed in this investigation.

Although the phenomenon of epistasis has been known for over 100 years, there is a paucity of studies to detect possible epistatic interactions in human diseases. It is hoped that
results presented here will inspire further investigations on
gene-gene interactions in AD and other complex polygenic/
multifactorial diseases.

**Study Funding**
This work was supported in part by the NIH (NIA grant Nos.
AG058489, AG10161, AG17917, AG22018, and NCATS
grant No. UL1-TR001450).

**Disclosure**
Disclosures available: Neurology.org/NG.

**Publication History**
Received by Neurology: Genetics July 15, 2020. Accepted in final form

---

**Appendix**

### Authors

<table>
<thead>
<tr>
<th>Name</th>
<th>Location</th>
<th>Contribution</th>
</tr>
</thead>
<tbody>
<tr>
<td>Janardan P. Pandey, PhD</td>
<td>Medical University of South Carolina, Charleston</td>
<td>Design and conceptualized the study and drafted the manuscript for intellectual content</td>
</tr>
<tr>
<td>Paul J. Nietert, PhD</td>
<td>Medical University of South Carolina, Charleston</td>
<td>Analyzed the data, and revised the manuscript for intellectual content</td>
</tr>
<tr>
<td>Ronald T. Kothera, MS</td>
<td>Medical University of South Carolina, Charleston</td>
<td>Genotyped the DNA samples</td>
</tr>
</tbody>
</table>

**References**

Interactive Effects of HLA and GM Alleles on the Development of Alzheimer Disease

*Neurol Genet* 2021;7;
DOI 10.1212/NXG.0000000000000565

This information is current as of February 16, 2021
Updated Information & Services
including high resolution figures, can be found at:
http://ng.neurology.org/content/7/2/e565.full.html

References
This article cites 9 articles, 1 of which you can access for free at:
http://ng.neurology.org/content/7/2/e565.full.html##ref-list-1

Subspecialty Collections
This article, along with others on similar topics, appears in the following collection(s):
All Genetics
http://ng.neurology.org/cgi/collection/all_genetics
All Immunology
http://ng.neurology.org/cgi/collection/all_immunology
Alzheimer's disease
http://ng.neurology.org/cgi/collection/alzheimers_disease
Association studies in genetics
http://ng.neurology.org/cgi/collection/association_studies_in_genetics
Risk factors in epidemiology
http://ng.neurology.org/cgi/collection/risk_factors_in_epidemiology

Permissions & Licensing
Information about reproducing this article in parts (figures, tables) or in its entirety can be found online at:
http://ng.neurology.org/misc/about.xhtml#permissions

Reprints
Information about ordering reprints can be found online:
http://ng.neurology.org/misc/addir.xhtml#reprintsus

*Neurol Genet* is an official journal of the American Academy of Neurology. Published since April 2015, it is an open-access, online-only, continuous publication journal. Copyright Copyright © 2021 The Author(s). Published by Wolters Kluwer Health, Inc. on behalf of the American Academy of Neurology. All rights reserved. Online ISSN: 2376-7839.